

# RAJATH FINANCE LIMITED

(CIN: L65910MH1984PLC419700)

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Date: 12-03-2024

**The Secretary**

Bombay Stock Exchange Limited.  
Phiroz Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001  
Maharashtra

**Subject: Application for Waiver of Penalty levied w.r.t for Non-compliance of Regulation 6 (1) with requirement to appoint a qualified company secretary as the compliance office - Scrip Code: 507962.**

**Ref: BSE Policy for exemption of fines levied as per the provisions of SEBI SOP Circular (Reason for waiver/reduction of penalty levied under SEBI SOP Circular)**

Dear Sir/Madam,

We are a Listed Company on the BSE Limited and making regular Compliances. The Company has been recently taken over by the new Management due to which there is change in management, control and the registered office of the Company has been shifted from state of Gujarat to state of Maharashtra and has also obtain RBI Certificate to commence active business operations.

Ms. Dea Vacchanni being a part of the old management was appointed as the CS and Compliance officer. During this transition phase, Ms. Vachhani preferred to tender her resignation on 30.06.2023 and requested the Board of Directors to relieve her w.e.f. 30.07.2023. However, the resignation of Ms Dea Vacchani was considered and accepted by the Board of Directors in the meeting held on 28.10.2023. The Company has taken all appropriate steps for appointment of Mr. Akash Hirenbbhai Bheda New CS and Compliance Officer. Mr. Akash Hirenbbhai Bheda new CS and Compliance Officer was appointed in the Board Meeting held on 28.10.2023 with effect from 01.12.2023 and further his appointment was also communicated to exchange within the timelines prescribed.

We further state that the management of the Company is always intending to make all compliances in true letter & spirit. We assure the Stock Exchange that we will comply with all applicable compliances of SEBI (LODR) Regulations, 2015 and other applicable Rules/Regulations.

It's our humble request to kindly consider the circumstances and waive off the penalty in lieu of the said circumstances.

Thanking you.  
Yours faithfully,

FOR RAJATH FINANCE LIMITED



**AKASH HIRENBHAI BHEDA**  
**COMPANY SECRETARY & COMPLIANCE OFFICER**

**PLACE: MUMBAI**

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